



**FOLEY  
HOAG** LLP  
ATTORNEYS AT LAW

**Fax**

Date: August 31, 2004

---

To: Examiner Hoai V. Pham Fax #: (571) 273-1715 Confirm#: (571) 272-1715

---

Client Matter#: 21024-102

From: Robert W. Gauthier Sender's Number: 1175 User #: 1006  
Total Pages Sent (Including Cover Sheet): 19 Office: Boston

---

**Message**

Application No. 09/974,023  
Atty. Docket No. RAK-001.02  
Title: Embedded Capacitor Multi-Chip Modules

Please find RCE Transmittal along with supporting documentation filed July 25, 2003.

---

**IMPORTANT - PLEASE READ**

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

---

IF THERE ARE ANY PROBLEMS WITH THIS TRANSMISSION PLEASE TELEPHONE THE SENDER.

---

Seaport World Trade Center West / 155 Seaport Blvd. / Boston, MA 02210-2600 / TEL: 617.832.1000 / FAX: 617.832.7000  
Foley Hoag LLP BOSTON WASHINGTON, DC www.foleyhoag.com

Application No.: 09/974,023

Atty. Docket No. RAK-001.02

Applicant(s): Kling, et al.

Title: *Embedded Capacitor Multi-Chip Modules*

The following was/were received in the U.S. Patent & Trademark Office mail room on the date stamped hereon:

1. Request for Continued Examination (RCE) Transmittal (1 pg.);
2. Amendment and Response to Final Office Action (10 pgs.);
3. Submission of Formal Drawings (1 pg.);
4. Formal Drawings of Figs. 1-7 (4 sheets);
5. Check in the amount of \$750 for the RCE fee; and
6. This return postcard.

Atty. RWG/las

Mailing Date: July 25, 2003

Application No.: 09/974,023

Atty. Docket No. RAK-001.02

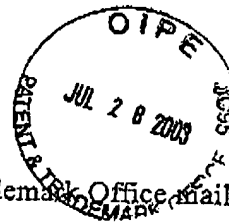
Applicant(s): Kling, et al.

Title: *Embedded Capacitor Multi-Chip Modules*

The following was/were received in the U.S. Patent & Trademark Office mail room on the date stamped hereon:

1. Request for Continued Examination (RCE) Transmittal (1 pg.);
2. Amendment and Response to Final Office Action (10 pgs.);
3. Submission of Formal Drawings (1 pg.);
4. Formal Drawings of Figs. 1-7 (4 sheets);
5. Check in the amount of \$750 for the RCE fee; and
6. This return postcard.

Atty. RWG/las

Mailing Date: July 25, 2003

00

Patent Account

RCE Fee

Director Of U.S. Patent Office  
21024-102(RWG 1063)

FOLEY HOAG LLP / PATENT ACCOUNT

FOLEY HOAG LLP / PATENT ACCOUNT

Director Of U.S. Patent Office  
21024-102(RWG 1063)

7/25/2003

750.00

© 1984 - 2000 INTUIT INC. # 614 1-800-433-8810

FOLEY HOAG LLP  
PATENT ACCOUNT  
155 SEAPORT BLVD  
BOSTON, MA 02210

PAY TO THE  
ORDER OF Director Of U.S. Patent Office

Seven Hundred Fifty and 00/100 \*\*\*\*\*

MEMO RCE Fee

00059150 000001380 00512

5915

PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/974,023
Filing Date	October 10, 2001
Examiner Name	Hoai Pham
First Named Inventor	Kling, et al.
Group Art Unit	2814
Attorney Docket Number	RAK-001.02

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. **Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Formal Drawings (Figs. 1-7, 4 sheets)

## 2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.


- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 08-1448
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ 750.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print /Type)	Robert W. Gauthier	Registration No. (Attorney/Agent)	35,153
Signature		Date	July 25, 2003

## **CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print /Type)	John Barretto	Date	July 25, 2003
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.